EXECUTIVE SUMMARY - ENFORCEMENT MATTER

Page 1 of 2

DOCKET NO.: 2007-1037-MSW-E **TCEQ ID:** RN102784535 **CASE NO.:** 34148

RESPONDENT NAME: R. C. Smith Companies, Ltd.

ORDER TYPE:		
X_1660 AGREED ORDER	FINDINGS AGREED ORDER	FINDINGS ORDER FOLLOWING SOAH HEARING
FINDINGS DEFAULT ORDER	_SHUTDOWN ORDER	IMMINENT AND SUBSTANTIAL ENDANGERMENT ORDER
AMENDED ORDER	_EMERGENCY ORDER	
CASE TYPE:		
AIR	MULTI-MEDIA (check all that apply)	INDUSTRIAL AND HAZARDOUS WASTE
PUBLIC WATER SUPPLY	PETROLEUM STORAGE TANKS	OCCUPATIONAL CERTIFICATION
WATER QUALITY	SEWAGE SLUDGE	UNDERGROUND INJECTION CONTROL
X MUNICIPAL SOLID WASTE	RADIOACTIVE WASTE	DRY CLEANER REGISTRATION
TYPE OF OPERATION: Transportin SMALL BUSINESS: X Yes OTHER SIGNIFICANT MATTERS: hauling vegetative debris, from the Texa There is no record of additional pending	URRED: Unauthorized Waste Site, 1594 Farm-to-leg business No One complaint was received on April 3, 2007, alleg s Department of Transportation highway construction enforcement actions regarding this facility location. It was received but the complainant has not expresse	ing that several 18-wheelers were observed on project, to a neighboring property for disposal.
Agenda.		
COMMENTS RECEIVED: The Texas	Register comment period expired on November 12.	, 2007. No comments were received.
0735; Mr. Bryan Sinclair, Enf Respondent: Mr. Gene Smith Mr. Jeff Smith,	nator: None nator: Ms. Cynthia McKaughan, Enforcement Divis orcement Division, MC 219, (512) 239-2171 , Owner, R. C. Smith Companies, Ltd., P.O. Box 69 Vice President, R. C. Smith Companies, Ltd., P.O. E represented by counsel on this enforcement matter	1, Conroe, Texas 77305

RESPONDENT NAME: R. C. Smith Companies, Ltd. **DOCKET NO.:** 2007-1037-MSW-E

VIOLATION SUMMARY CHAR	Γ:	
VIOLATION INFORMATION	PENALTY CONSIDERATIONS	CORRECTIVE ACTIONS TAKEN/REQUIRED (66)1-7.
Type of Investigation: X Complaint Routine Enforcement Follow-up Records Review Date of Complaint Relating to this Case: April 3, 2007 Date of Investigation Relating to this Case: April 5, 2007 Date of NOE Relating to this Case: June 5, 2007 (NOE) Background Facts: This was a complaint investigation concerning the unauthorized disposal of municipal solid waste. One significant violation was observed. WASTE	Total Assessed: \$2,250 Total Deferred: \$450	Corrective Actions Taken: The Executive Director recognizes that on July 16, 2007, the Respondent implemented corrective procedures by directing their truck drivers that MSW must be disposed at a facility authorized by the TCEQ and posting the relevant TCEQ rules in their transport truck.
Failure to prevent the transportation of municipal solid waste (MSW) for disposal at an unauthorized facility. Specifically, the Respondent hauled approximately 5,600 cubic yards of MSW from March 25, 2007 through April 5, 2007, to an unauthorized disposal site, located at 1594 Farm-to-Market Road 1795, in Willis, San Jacinto County, Texas [30 Tex. Admin. Code § 330.15(c)].		

Additional ID No(s).: None

Policy Revision 2 (Septe	-	Calculation	n Works	sheet (F	•	on June 26, 2007
TCEQ DATES Assigned	11-Jun-2007					
PCW	11-Jul-2007 Screen	ing 3-Jul-2007	EPA Due			
RESPONDENT/FACILITY		111				
Reg. Ent. Ref. No.		, ша.				
Facility/Site Region	10-Beaumont		Major/	Minor Source	Minor	
CASE INFORMATION Enf./Case ID No.	2/1/0] No	of Violations	.Tr	
Docket No.	2007-1037-MSW-E			Order Type	1660	
Media Program(s) Multi-Media	Municipal Solid Waste		Enf		Cynthia McKaughan EnforcementTeam 7	
Admin. Penalty \$ L	imit Minimum \$0	Maximum	\$10,000			
	Pe	nalty Calcul	ation Sec	tion		
TOTAL BASE PENAL					Subtotal 1	\$2,500
ADJUSTMENTS (+/-)	TO SUBTOTAL 1					
Subtotals 2-7 are obtain	ned by multiplying the Total Ba		by the indicated pe		totals 2, 3, & 7	\$0
•						7-1
Notes	There were no prev	ious NOVS for the s	same or similar	violations.		***************************************
Culpability	No	0%	6 Enhancement		Subtotal 4	\$0
Notes	The Responde	nt does not meet ti	ne culpability c	riteria.		
_						
Good Faith Effort		10% EDPRP/Settlement Offe			Subtotal 5	\$250
Extraordinary	X					
Ordinary N/A	(mark witt	1 x)	.,		- -	
Notes	The Responden	t came into complia	ance on July 16	6, 2007.		
						¢0
	Total EB Amounts \$7	*Сарре	6 Enhancement* ed at the Total EB	\$ Amount	Subtotal 6	\$0
	Cost of Compliance \$500	<u>) </u>				
SUM OF SUBTOTALS	S 1-7				Final Subtotal	\$2,250
OTHER FACTORS AS					Adjustment	\$0
Reduces or enhances the Final S	ubtotal by the indicated percer	itage. <i>(Enter number oi</i>	nly; e.g30 for -30	1%.)		
Notes						
				Final P	enalty Amount	\$2,250
STATUTORY LIMIT A	DJUSTMENT			Final Ass	essed Penalty	\$2,250
	inaan arti kotsaksi kari tii kikili 200 kil kali ilikili kali Karean ilika		9007			-\$450
DEFERRAL Reduces the Final Assessed Pen	alty by the indicted percentage	e. (Enter number only; e	20 % e.g. 20 for 20% red		Adjustment	-\$450
Notes	Deferral	offered for expedit	ed settlement			
110103	25,5114	and or or pour				
PAYABLE PENALTY						\$1,800

PCW Docket No. 2007-1037-MSW-E Screening Date 3-Jul-2007 Respondent R. C. Smith Companies, Ltd. Policy Revision 2 (September 2002) Case ID No. 34148 PCW Revision June 26, 2007 Reg. Ent. Reference No. RN102784535 Media [Statute] Municipal Solid Waste Enf. Coordinator Cynthia McKaughan **Compliance History Worksheet** >> Compliance History Site Enhancement (Subtotal 2) Adjust. Component Number of... Enter Number Here Written NOVs with same or similar violations as those in the current enforcement action 0 0% (number of NOVs meeting criteria) **NOVs** 0% Other written NOVs 0 Any agreed final enforcement orders containing a denial of liability (number of orders 0 0% meetina criteria) La pioni di A Any adjudicated final enforcement orders, agreed final enforcement orders without a denial Orders of liability, or default orders of this state or the federal government, or any final prohibitory 0% emergency orders issued by the commission Any non-adjudicated final court judgments or consent decrees containing a denial of liability 0% of this state or the federal government (number of judgements or consent decrees meeting Judgments and Consent Any adjudicated final court judgments and default judgments, or non-adjudicated final court Decrees 0 judgments or consent decrees without a denial of liability, of this state or the federal 0% Any criminal convictions of this state or the federal government (number of counts) 0 0% Convictions 0% 0 Chronic excessive emissions events (number of events) **Emissions** Letters notifying the executive director of an intended audit conducted under the Texas Environmental, Health, and Safety Audit Privilege Act, 74th Legislature, 1995 (number of 0 0% audits for which notices were submitted) Audits Disclosures of violations under the Texas Environmental, Health, and Safety Audit Privilege 0 0% Act, 74th Legislature, 1995 (number of audits for which violations were disclosed) Please Enter Yes or No Environmental management systems in place for one year or more No 0% Voluntary on-site compliance assessments conducted by the executive director under a No 0% special assistance program Other 0% Νo Participation in a voluntary pollution reduction program Early compliance with, or offer of a product that meets future state or federal government No environmental requirements 0% Adjustment Percentage (Subtotal 2) >> Repeat Violator (Subtotal 3) N/A Adjustment Percentage (Subtotal 3) 0% >> Compliance History Person Classification (Subtotal 7 Adjustment Percentage (Subtotal 7) N/A >> Compliance History Summary Compliance There were no previous NOVs for the same or similar violations History Notes Total Adjustment Percentage (Subtotals 2, 3, & 7)

Screening Da	ate 3-Jul-2007	Docl	ket No. 2007-1037-MSW-E	PCW
rediction with a contract records a second file and the contract records a file of the contract records and the contract	ent R. C. Smith Compar	nies, Ltd.		Policy Revision 2 (September 2002)
Case ID N		·		PCW Revision June 26, 2007
Reg. Ent. Reference N				
	te] Municipal Solid Was			www.
Violation Num	tor Cynthia McKaughan	!		
Rule Cite	P(S)	30 Tex. Admin. C	ode § 330.15(c)	
Violation Descript	unauthorized facili ion yards of municip	ty. Specifically, the Responsional solid waste from Marc	nunicipal solid waste for disposa ondent hauled approximately 5, th 25, 2007 through April 5, 200° 4 FM 1795, in Willis, San Jacinto as.	600 cubic 7, to an
			Ва	se Penalty \$10,000
>> Environmental, Propert	ty and Human Healf	th Matrix		
	Ha	ırm		
Relea OR Ac		erate Minor		
Poter		<u> </u>	Percent 25%	1
1 0(01				
>>Programmatic Matrix				The second secon
Falsificat	ion Major Mod	lerate Minor		
			Percent 0%	
\$10,000 (0.0			nificant amounts of pollutants w	hich do not
Notes	exceed levels that are	protective of human hea	alth or environmental receptors.	
<u>L</u>				
			Adjustment	\$7,500
				\$2,500
Violation Events				
Violation Events				
Number o	f Violation Events	1	10 Number of violation d	ays
	-			
	daily	X		
mark only o			Violation Ba	se Penalty \$2,500
with an				-
	annual	EARLESSES .		
	single event		,	
	One monthly event i	is recommended from Ma	arch 25, 2007 to April 5, 2007.	
Economic Benefit (EB) for	r this violation		Statutory Limit T	est

Estim	nated EB Amount	\$7]	Violation Final Pe	nalty Total \$2,250
	•	This violation Fin	al Assessed Penalty (adjusted	for limits) \$2,250
		,	, ,	

Violation No.	Municipal Solid 1	Waste				Percent Interest	Years of Depreciation
Violation 140.	1					5.0	1:
	Item Cost	Date Required	Final Date	Yrs	Interest Saved	Onetime Costs	EB Amount
Item Description						100	
		######################################		KNEHNKENSKERNE			
Delayed Costs							
Equipment				0,0	\$0	\$0	\$0
Buildings				0.0	\$0	\$0	\$0
Other (as needed)	SAME PARTICIPATION			0.0	\$0	\$0	\$0
Engineering/construction				0.0	\$0	\$0	\$0
Land				0.0	\$0	n/a	\$0
Record Keeping System				0,0	\$0 1	n/a	\$0
Training/Sampling				0.0	\$0	n/a	\$0
Remediation/Disposal				0.0	\$0	n/a	\$0
Permit Costs				0,0	\$0 \$7	n/a	\$0 \$7
Other (as needed)	\$500	5-Apr-2007	16-Jul-2007	0,3	1 3/	n/a	Φ/
	Estimated o	act to develop and i	molement proced	ures to a	ensure that all was	tes are transported t	o authorized
Notes for DELAYED costs Avoided Costs	facilitie		ed is the investiga	ition date	e and the Final Da	te is the date of com	pliance.
	facilitie	s. The Date Requir	ed is the investiga	ntering	e and the Final Da item (except for \$0	te is the date of com one-time avoided c	pliance. osts) \$0
Avoided Costs	facilitie	s. The Date Requir	ed is the investiga	ntering 0.0 0.0	e and the Final Da item (except for \$0 \$0	te is the date of com one-time avoided c \$0 \$0	pliance. osts) \$0 \$0
Avoided Costs Disposal Personnel Inspection/Reporting/Sampling	facilitie	s. The Date Requir	ed is the investiga	ntering 0.0 0.0 0.0	e and the Final Da item (except for \$0 \$0 \$0	te is the date of comone-time avoided c \$0 \$0 \$0 \$0	pllance. (sts) \$0 \$0 \$0
Avoided Costs Disposal Personnel Inspection/Reporting/Sampling Supplies/equipment	facilitie	s. The Date Requir	ed is the investiga	ntering 0.0 0.0 0.0 0.0	e and the Final Da item (except for \$0 \$0 \$0	te is the date of comone-time avoided comone-t	pllance. \$0
Avoided Costs Disposal Personnel Inspection/Reporting/Sampling Supplies/equipment Financial Assurance [2]	facilitie	s. The Date Requir	ed is the investiga	ntering 0.0 0.0 0.0 0.0 0.0 0.0	e and the Final Da item (except for \$0 \$0 \$0 \$0 \$0	te is the date of comes the comment of the comment	pliance. \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0
Avoided Costs Disposal Personnel Inspection/Reporting/Sampling Suppilles/equipment Financial Assurance [2] ONE-TIME avoided costs [3]	facilitie	s. The Date Requir	ed is the investiga	ntering 0.0 0.0 0.0 0.0 0.0 0.0 0.0	e and the Final Da item (except for \$0 \$0 \$0 \$0 \$0 \$0 \$0	te is the date of comes in the	pliance. \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0
Avoided Costs Disposal Personnel Inspection/Reporting/Sampling Supplies/equipment Financial Assurance [2]	facilitie	s. The Date Requir	ed is the investiga	ntering 0.0 0.0 0.0 0.0 0.0 0.0	e and the Final Da item (except for \$0 \$0 \$0 \$0 \$0	te is the date of comes the comment of the comment	pliance. \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0 \$0

Compliance History

Custome	er/Respondent/Owner-Operator:	CN603213604	R. C. Smith Companies,	Ltd.	Classification:	Rating:	
Regulate	ed Entity:	RN102784535	SMITH & COMPANY		Classification:	Site Rating	 j:
ID Numb	er(s):						_
Location:		FM 1485, CONRC	DE, TX, 77305				_
TCEQ R	egion:	REGION 12 - HO	USTON	<u> </u>			
	mpliance History Prepared:	July 19, 2007					
Agency [Decision Requiring Compliance History:	Enforcement					_
Compliance Period:		July 19, 2002 to J	uly 19, 2007				_
TCEQ S	taff Member to Contact for Additional Info	ormation Regarding this	Compliance History				_
Name:	Cynthia McKaughan	Ph	one: (512) 239-073	5	· · · · · · · · · · · · · · · · · · ·		
		Site	Compliance History Co	mnonente			
1. Has th	e site been in existence and/or operation			No			
	ere been a (known) change in ownershi			No			
3. If Yes,	who is the current owner?			N/A			
4. if Yes	, who was/were the prior owner(s)?			N/A			
5. When	did the change(s) in ownership occur?			N/A			
Compo	nents (Multimedia) for the Site :						
A.	Final Enforcement Orders, court judge	ements, and consent de	crees of the state of Texas	and the federal gover	nment.		
	N/A						
В.	Any criminal convictions of the state of	f Tayas and the federal	government				
ь.	N/A	· rexas and the receial	government.				
C.	Chronic excessive emissions events.						
	N/A						
D.	The approval dates of investigations.	(CCEDS Inv. Track. No	.)				
	1 06/05/2007 (559065)						
E.	Written notices of violations (NOV). (C	CCEDS Inv. Track. No.)					
_		*					
F.	Environmental audits. N/A						
G.	Type of environmental management s	ystems (EMSs).					
	N/A						
H.	Voluntary on-site compliance assessn	nent dates.					
	N/A						
l.	Participation in a voluntary pollution re	eduction program.					
	N/A						
J.	Early compliance.						
	N/A						
Sites Ou	tside of Texas						
	N/A						

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TEXAS COMMISSION ON ENVIRONMENTAL QUALITY



IN THE MATTER OF AN	§	BEFORE THE
ENFORCEMENT ACTION	§	
CONCERNING	§	TEXAS COMMISSION ON
R. C. SMITH COMPANIES, LTD.	§	
RN102784535	§	ENVIRONMENTAL QUALITY

AGREED ORDER DOCKET NO. 2007-1037-MSW-E

I. JURISDICTION AND STIPULATIONS

At its ______ agenda, the Texas Commission on Environmental Quality ("the Commission" or "TCEQ") considered this agreement of the parties, resolving an enforcement action regarding R. C. Smith Companies, Ltd. ("Smith & Company") under the authority of TEX. HEALTH & SAFETY CODE ch. 361 and TEX. WATER CODE ch. 7. The Executive Director of the TCEQ, through the Enforcement Division, and Smith & Company appear before the Commission and together stipulate that:

- 1. Smith & Company owns and operates a transporting business at Farm-to-Market (FM) Road 1485 in Conroe, Montgomery County, Texas (the "Operation"), which transported municipal solid waste from Highway 150, near Coldspring, San Jacinto County, Texas to an unauthorized site located at 1594 FM 1795, in Willis, San Jacinto County, Texas.
- 2. The Operation involves or involved the management of municipal solid waste as defined in TEX. HEALTH & SAFETY CODE ch. 361.
- 3. The Commission and Smith & Company agree that the Commission has jurisdiction to enter this Agreed Order, and that Smith & Company is subject to the Commission's jurisdiction.
- 4. Smith & Company received notice of the violations alleged in Section II ("Allegations") on or about June 10, 2007.
- 5. The occurrence of any violation is in dispute and the entry of this Agreed Order shall not constitute an admission by Smith & Company of any violation alleged in Section II ("Allegations"), nor of any statute or rule.
- 6. An administrative penalty in the amount of Two Thousand Two Hundred Fifty Dollars (\$2,250) is assessed by the Commission in settlement of the violations alleged in Section II ("Allegations").

	·		
	•		

Smith & Company has paid One Thousand Eight Hundred Dollars (\$1,800) of the administrative penalty and Four Hundred Fifty Dollars (\$450) is deferred contingent upon Smith & Company's timely and satisfactory compliance with all the terms of this Agreed Order. The deferred amount will be waived upon full compliance with the terms of this Agreed Order. If Smith & Company fails to timely and satisfactorily comply with all requirements of this Agreed Order, the Executive Director may require Smith & Company to pay all or part of the deferred penalty.

- 7. Any notice and procedures, which might otherwise be authorized or required in this action, are waived in the interest of a more timely resolution of the matter.
- 8. The Executive Director of the TCEQ and Smith & Company have agreed on a settlement of the matters alleged in this enforcement action, subject to the approval of the Commission.
- 9. The Executive Director recognizes that on July 16, 2007, Smith & Company implemented corrective procedures by directing their truck drivers that municipal solid waste must be disposed at a facility authorized by the TCEQ and posting the relevant TCEQ rules in their transport trucks.
- 10. The Executive Director may, without further notice or hearing, refer this matter to the Office of the Attorney General of the State of Texas ("OAG") for further enforcement proceedings if the Executive Director determines that Smith & Company has not complied with one or more of the terms or conditions in this Agreed Order.
- 11. This Agreed Order shall terminate five years from its effective date or upon compliance with all the terms and conditions set forth in this Agreed Order, whichever is later.
- 12. The provisions of this Agreed Order are deemed severable and, if a court of competent jurisdiction or other appropriate authority deems any provision of this Agreed Order unenforceable, the remaining provisions shall be valid and enforceable.

II. ALLEGATIONS

As owner of the Operation, Smith & Company is alleged to have failed to prevent the transportation of municipal solid waste for disposal at an unauthorized facility in violation of 30 TEX. ADMIN. CODE § 330.15(c), as documented during an investigation conducted on April 5, 2007. Specifically, Smith & Company hauled approximately 5,600 cubic yards of municipal solid waste from March 25, 2007 through April 5, 2007, to an unauthorized disposal site, located at 1594 FM 1795, in Willis, San Jacinto County, Texas.

III. DENIALS

Smith & Company generally denies each allegation in Section II ("Allegations").

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IV. ORDERING PROVISIONS

1. It is, therefore, ordered by the TCEQ that Smith & Company pay an administrative penalty as set forth in Section I, Paragraph 6 above. The payment of this administrative penalty and Smith & Company's compliance with all the terms and conditions set forth in this Agreed Order resolve only the allegations in Section II. The Commission shall not be constrained in any manner from requiring corrective action or penalties for violations, which are not raised here. Administrative penalty payments shall be made payable to "TCEQ" and shall be sent with the notation "Re: R. C. Smith Companies, Ltd., Docket No. 2007-1037-MSW-E" to:

Financial Administration Division, Revenues Section Attention: Cashier's Office, MC 214 Texas Commission on Environmental Quality P.O. Box 13088 Austin, Texas 78711-3088

- 2. The provisions of this Agreed Order shall apply to and be binding upon Smith & Company. Smith & Company is ordered to give notice of the Agreed Order to personnel who maintain day-to-day control over the Facility operations referenced in this Agreed Order.
- 3. This Agreed Order, issued by the Commission, shall not be admissible against Smith & Company in a civil proceeding, unless the proceeding is brought by the OAG to: (1) enforce the terms of this Agreed Order; or (2) pursue violations of a statute within the Commission's jurisdiction, or of a rule adopted or an order or permit issued by the Commission under such a statute.
- 4. This agreement may be executed in multiple counterparts, which together shall constitute a single original instrument. Any executed signature page to this Agreement may be transmitted by facsimile transmission to the other parties, which shall constitute an original signature for all purposes.
- 5. Under 30 TEX. ADMIN. CODE § 70.10(b), the effective date is the date of hand-delivery of the Order to Smith & Company, or three days after the date on which the Commission mails notice of the Order to Smith & Company, whichever is earlier. The Chief Clerk shall provide a copy of this Agreed Order to each of the parties.

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For the Commission

Authorized Representative of R. C. Smith Companies, Ltd.

SIGNATURE PAGE

I, the undersigned, have read and understand the attached Agreed Order. I am authorized to agree to the attached Agreed Order on behalf of the entity indicated below my signature, and I do agree to the terms and conditions specified therein. I further acknowledge that the TCEQ, in accepting payment for the

I also understand that failure to comply with the Ordering Provisions, if any, in this order and/or failure to

11/16/2007

TEXAS COMMISSION ON ENVIRONMENTAL QUALITY

penalty amount, is materially relying on such representation.

Section at the address in Section IV, Paragraph 1 of this Agreed Order.

timely pay the penalty amount, may result in:	
 A negative impact on compliance history; 	
 Greater scrutiny of any permit applications 	submitted;
 Referral of this case to the Attorney Gene penalties, and/or attorney fees, or to a colle 	eral's Office for contempt, injunctive relief, additional action agency;
 Increased penalties in any future enforcement 	ent actions;
 Automatic referral to the Attorney General 	's Office of any future enforcement actions; and
 TCEQ seeking other relief as authorized by 	y law.
In addition, any falsification of any compliance do	cuments may result in criminal prosecution.
Signature/	$\frac{9-7-07}{\text{Date}}$
JEFF OMITH	Vice tresident
Name (Printed or typed)	Title

Instructions: Send the original, signed Agreed Order with penalty payment to the Financial Administration Division, Revenues

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